

**Help Accelerate Change –
Become a CRTA Participant**

Your firm's benefits,
for an annual fee,
include:

- Opportunity to **participate in events and workshops at a 25% discount**
- Invitation to **present content** for newsletters, blogs and podcasts, etc.
- Consideration for **selected case studies** to be featured on website
- **For RegTech firms:** Be listed in the **CRTA's RegTech Directory**
- **For regulated entities and other participants:** Get full access to the directory

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Be a CRTA Volunteer**

The CRTA is looking for dedicated, hardworking volunteers like you to help with communications, events and more.

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FROM THE TOP

It's been an exciting 10 months, and we at the Canadian RegTech Association realized we needed a way to share it with you. So welcome to the first issue of RegTech Exclusive, a monthly newsletter with our latest news as well as regular features such as our upcoming events and information on new members. Please let us know if you have any ideas by emailing info@regtech.ca. And don't forget to visit regtech.ca!

Upcoming Events**Thursday, September 12, 2019: RegTech à Montréal
"Key Challenges in Compliance and How RegTech Can Help" (Montreal, QC)**

This event brings together RegTech firms, financial industry participants, regulators, and legal and consulting practitioners supporting them to explore:

1. solutions to anti-money-laundering
2. artificial intelligence and model risk management, and
3. derivatives challenges.

Confirmed speakers include: **Carl Barrelet**, KPMG; **Eric Brock**, PNC Bank of Canada; **Michael Grecoff**, Bay Street Tech; **Rosalind Laruccia**, Laurentian Bank; **Daniel Leslie**, Norton Rose Fulbright; **Lafèche Montreuil**, Desjardins; **Manuel Morales**, National Bank and Université de Montréal; and **Julie Rochette**, TMX; **Jan Christopher Arp**, Holt Accelerator.

To view the agenda and speakers and to register, [click here](#).

**Wednesday September 25, 2019: Joint CFA Society/CRTA Event
"Using RegTech to Improve Client Experience, Compete and Thrive"
(Toronto, ON)**

The wealth industry in Canada is going through significant change. New suppliers are entering the market, adding competition and complexity. Changing investor behaviour, social attitudes and expectations are leading the advisor community to re-think the traditional product-led business model and move towards a more holistic service-oriented approach. Technology developments are enabling small and mid-sized investment managers/advisors to explore new ways to automate processes and enhance the client experience. [Register](#) today.

Join us in October as we discuss "Essential Elements for an Effective Trade Surveillance Program" – Details Coming Soon!

Will Your RegTech Firm Make the Top 100?

Nominations for this year's top 100 RegTech firms are now open to all companies providing technical solutions addressing financial-institution regulatory- and risk-management challenges. **Fill in a short form by September 13.** Canada's **Apexa**, led by CRTA Strategic Advisor Tonya Blackmore, made the grade last year. Will your firm or someone you know be one of this year's Top 100?

CRTA Welcomes Newest Participant

While the CRTA has had interest and involvement from many people in its early days, we'd like to welcome our first formal member – [PortfolioAid](#), a growing Canadian provider of intelligent compliance solutions.